

NASA GRANTEE NEW TECHNOLOGY SUMMARY REPORT

NASA requires each research grantee, research contractor, and research subcontractor to report new technology to the NASA Commercial Technology Office. For that purpose, the following reports and corresponding schedules are provided:

<u>Title of Report</u>	<u>Form Number</u>	<u>Timetable</u>
New Technology Disclosure	NASA Form 1679	The grantee discloses <i>each</i> discovery of new technology individually, at the time of its discovery
NASA Grantee New Technology Summary Report (checkmarked "Interim")	NASA C-3043	For multi-year grants, the grantee summarizes the previous year's disclosures on an annual basis. The first Interim New Technology Summary Report is due exactly 12 months from the effective date of the grant. Future reports are due annually, thereafter.
NASA Grantee New Technology Summary Report (checkmarked "Final")	NASA C-3043	The grantee submits a cumulative summary of all disclosed technologies. The Final New Technology Summary Report is submitted immediately following the grant's technical period of performance.

Grantee Name: SAN JOSÉ STATE UNIVERSITY RESEARCH FOUNDATION

Grantee Address: 210 N. Fourth Street, 4th Floor, San Jose, CA 95112

Telephone No.: _____

NASA Grant No: _____ Grant Completion Date: _____

NASA GM: _____ Report Submitted by: _____

New technology should be reported whether or not it is or may be patentable.

Large business contractors and subcontractors must disclose all reportable items to NASA. Reportable items as used in NASA contracts (or subcontracts) with large businesses means any invention, discovery, improvement, or innovation, whether or not patentable, conceived or first actually reduced to practice in the performance of work under a NASA contract (or subcontract). Reportable items include, but are not limited to, new processes, machines, manufactures, and compositions of matter, and improvements to, or new applications of, existing processes, machines, manufactures, and compositions of matter. Reportable items also include new computer programs, and improvements to, or new applications of, existing computer programs, whether or not copyrightable.

Small business, nonprofit organization, and college and university contractors and subcontractors must disclose all subject inventions to NASA. Subject inventions as used in NASA contracts (or subcontracts) with other than large businesses means any invention or discovery which is or may be patentable and is conceived or first actually reduced to practice in the performance of work under a NASA contract (or subcontract). Subject inventions include any new process, machine, manufacture, or composition of matter, including software, and improvements to, or new applications of, existing processes, machines, manufactures, and compositions of matter, including software.

Subject to approval by contractors (or subcontractors) who retain or obtain title to subject inventions or reportable items, all such reported items are evaluated for publication in NASA Tech Briefs. If an item is published in NASA Tech Briefs, the innovator receives a monetary award from NASA.

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General Information

1. Type of Report: Interim Final Reporting Period: _____
2. Size of Business: Large Small College/ University Nonprofit Organization
3. Have any reportable items or subject inventions resulted from work performed under this contract during this reporting period? Yes No
4. Are New Technology Items being disclosed (NF 1679 or equivalent) with this Summary Report?
 Yes No

• New Technology Items

Please provide the title(s) of all new and previously disclosed new technology items conceived or first reduced to practice under this grant. Use a separate piece of paper if additional space is required.

<u>Title</u>	<u>Internal Docket Number</u>	<u>Patentable Item</u>	<u>Patent Appl. Filed</u>	<u>Patent Appl. Not Filed</u>
• _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

• Grant Subcontractors

Please complete the following section listing all research subcontractors participating to date. Include each subcontractor's name, address, contact person, telephone number, and the subcontract award date. Use a separate piece of paper if additional space is required.

Date of Award: _____	Date of Award: _____
Date of Award: _____	Date of Award: _____

• Certification

I certify that active and effective procedures ensuring prompt identification and timely disclosures of reportable new technology items have been followed. Furthermore, I certify that all new technology items required to be disclosed and conceived during the period identified on this form have been disclosed to NASA.

PI Name and Title	Signature and Date
Name and Title of Authorized Official	Signature and Date